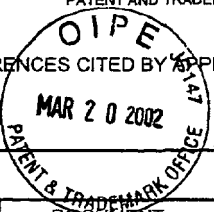


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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 215472US0 | | SERIAL NO. 10/029,289 | |
| LIST OF REFERENCES CITED BY APPLICANT  | | | | APPLICANT Klaus SCHULTES, et al. | | | |
| | | | | FILING DATE December 28, 2001 | | GROUP 1714 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| P.S. | AO | 722 219 | 01/19/55 | GREAT BRITAIN | | | |
| | AP | | | | | | |
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| | AR | | | | | | |
| | AS | | | | | | |
| | AT | | | | | | |
| | AU | | | | | | |
| | AV | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| P.S. | AW | Chemical Abstracts, Vol. 92, No. 8, AN 92:59433w, page 15, XP 002189328, February 25, 1980, RO 62 938, November 25, 1977 | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | AZ | | | | | | |
| Examiner <i>Peter Sokely</i> | | | | | Date Considered <i>9/10/03</i> | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 215472US0 | | SERIAL NO. 10/029,289 | |
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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| P.S. | AA | 6,355,712 | 03/12/02 | Schultes, et al. | 524 | 296 | |
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AW | | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | AZ | | | | | <input type="checkbox"/> Additional References sheet(s) attached | |
| Examiner <i>Peter Scola</i> | | | | Date Considered <i>9/10/03</i> | | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |



LIST OF RELATED CASES

| <u>Docket Number</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Inventor/ Applicant</u> |
|----------------------|------------------------------------|---------------------------------|--------------------------------|
| 0583-0409-0 | 6,355,712 | 03/12/02 | SCHULTES, et al. |
| 212248US0 PCT | 09/926,484 | 11/09/01 | MEIER, et al. |
| 215472US0* | 10/029,289 | 12/28/01 | SCHULTES, et al. |
| 215473US0 | 10/029,265 | 12/28/01 | SCHULTES, et al. |
| 231992US0 PCT | 10/363,319 | 03/03/03 | SCHULTES, et al. |

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MAY 28 2003
GROUP 1700

*Present Application; listed for information
NFO/ltc

Docket No. 215473US0



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Klaus SCHULTES, et al.

SERIAL NO: 10/029,289

GAU: 1711

FILED: December 28, 2001

EXAMINER:

FOR: MOULDING COMPOSITIONS WITH DIFFUSING PROPERTIES AND MOULDINGS OBTAINABLE FROM THESE

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MAY 22 2002
TC 1700

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicants pending applications/issued patent which may be related to the present application. A copy of the patent, together with a copy of the claims and drawings of the pending applications is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Norman F. Oblon

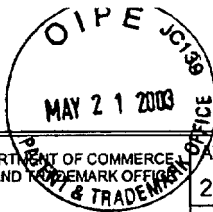
Registration No. 24,618

Roland E. Martin
Registration No. 48,082



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(OSMMN 03/02)

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

PATENT DOCKET NO.

215473US0

SERIAL NO.

10/029,265

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Klaus SCHULTES, et al.

FILING DATE

December 28, 2001

GROUP

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|----------|------------------|-------|--------------|-------------------------------|
| P.S. | AA | 6,355,712 | 03/12/02 | SCHULTES, et al. | 524 | 296 | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
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| | AU | | | | | |
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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| | AW | |
| | AX | |
| | AY | |
| | AZ | |

☐ Additional References sheet(s) attached

Examiner

Peter Srebnick

Date Considered

9/10/03

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



LIST OF RELATED CASES

RECEIVED
MAY 22 2003
TC 1700

| <u>Docket Number</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Inventor/ Applicant</u> |
|----------------------|------------------------------------|---------------------------------|--------------------------------|
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| 231992US0 PCT | 10/363,319 | 03/03/03 | SCHULTES, et al. |

*Present Application; listed for information
NFO/ltc

Docket No. 215472US0



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Klaus SCHULTES, et al.

SERIAL NO: 10/029,289

GAU: 1714

FILED: December 28, 2001

FOR: PROCESS FOR PREPARING BEAD POLYMERS WITH AN AVERAGE PARTICLE SIZE IN THE RANGE FROM 1 TO 40 μ M, MOULDING COMPOSITIONS COMPRISING BEAD POLYMER, AND MOULDINGS AND PAMA PLASTISOLS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

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MAY 28 2003

SIR:

Applicant(s) wish to disclose the following information.

GROUP 1700

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

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DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

[Signature] 52595

Norman F. Oblon

Registration No. 24,618



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(OSMMN 05/03)

Docket No. 215472US0/shb



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Klaus SCHULTES, et al.

SERIAL NO: 10/029,289

GAU: 1714

FILED: December 28, 2001

EXAMINER:

FOR: PROCESS FOR PREPARING BEAD POLYMERS WITH AN AVERAGE PARTICLE SIZE IN THE RANGE FROM 1 TO 40 μ M, MOULDING COMPOSITIONS COMPRISING BEAD POLYMER, AND MOULDINGS AND PAMA PLASTISOLS

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

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MAR 21 2002
TC 1700

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
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